

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Jong Moo LEE, et al.

Serial No.: New Application Group Art Unit: Not Yet Assigned

Filed: February 13, 2004 Examiner: Not Yet Assigned

Title: POLYMERIC OPTICAL DEVICE HAVING LOW POLARIZATION
DEPENDENCE AND METHOD OF FABRICATING THE SAME

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

February 13, 2004

Sir:

As a means of complying with the duty of disclosure under 37 CFR §1.56, and in accordance with 37 CFR §§1.97 and 1.98, Applicant(s), through the undersigned attorney, submits this Information Disclosure Statement. The patents, publications or other information submitted herewith are listed on the attached Form PTO-1449 and copies are attached.

In accordance with 37 CFR §1.97(b)(1) or (2), this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, or within three months of the date of entry into the national stage of the above-identified application as set forth in 37 CFR §1.491. Accordingly, no fee is required.

Respectfully submitted,

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.: P69511US0 GROUP ART UNIT: Not Yet Assigned
 SERIAL NO.: New Application FILING DATE: February 13, 2004
 APPLICANT(S): Jong Moo LEE, et al. TODAY'S DATE: February 13, 2004

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	INT'L CLASS	SUB- CLASS	FILING DATE (If Appropriate)	
_____	AA	<u>5,341,444</u>	<u>08/23/94</u>	<u>Henry et al.</u>	<u>G02B</u>	<u>6/12</u>	<u>03/19/93</u>
_____	AB	<u>5,930,439</u>	<u>07/27/99</u>	<u>Ojha et al.</u>	<u>G02B</u>	<u>6/10</u>	<u>10/01/97</u>
_____	AC	<u>6,277,938</u>	<u>08/21/01</u>	<u>Koike et al.</u>	<u>C08K</u>	<u>5/103</u>	<u>07/29/97</u>

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

_____ AD Hiroshi Takahashi, et al.; "Polarization-Insensitive Arrayed-Waveguide Wavelength Multiplexer with Birefringence Compensating Film"; IEEE PHOTONICS TECHNOLOGY LETTERS; VOL. 5, NO.6; JUNE 1993; pp. 707-709.

_____ AE Y. Inoue, et al.; "Polarization Mode Converter With Polyimide Half Waveplate in Silica-Based Planar Lightwave Circuits"; IEEE PHOTONICS TECHNOLOGY LETTERS; VOL. 6, NO. 5; May 1994; pp. 626-628.

_____ AF S.M. Ojha, et al.; "Simple method of fabricating polarisation-insensitive and very low crosstalk AWG grating devices"; ELECTRONIC LETTERS; VOL. 34, NO. 1; January 8, 1998; pp. 78-79.

_____ AG N. Keil, et al.; "Athermal polarization-independent all-polymer arrayed waveguide grating (AWG) multi/demultiplexer"; 2000 Optical Society of America; pp. PD7 - 1 to PD7 - 3.

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).